U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NO .: I432.116.101/P29858 TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO.: INTERNATIONAL FILING DATE: PRIORITY DATE PCT/DE03/003587 31 October 2002 29 October 2003 TITLE OF INVENTION: VERTICALLY INTEGRATED COMPONENT, COMPONENT ARRAY AND METHOD FOR FABRICATING (As Amended) APPLICANT(S) FOR DO/EO/US: LAST, first; Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. 🖾 This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. 🛛 The U.S. has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) 5. 🔯 is attached hereto (required only if not communicated by the International Bureau). b. 🛛 has been communicated by the International Bureau. c. 🗆 is not required, as the application was filed in the United States Receiving Office (RO/US). A English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. 🛛 is attached hereto. b. 🔲 has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) 7. а. П are attached hereto (required only if not communicated by the International Bureau). b. 🔲 have been communicated by the International Bureau. c. 🗆 have not been made; however, the time limit for making such amendments has NOT expired. d. 🛛 have not been made and will not be made. 8. 🔲 A English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. 🔲 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. A English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. 🔯 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. 🛛 A substitute specification. 16. 🔲 A power of attorney and/or change of address letter. 17. 🔲 A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 18. 🔲 A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. 🔲 A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information: Substitute Specification with Markings to Show Changes Made; Form PTO-1449; 10 cited references.

## CERTIFICATE OF EXPRESS MAIL

"Express Mail" mailing label number EV525783320US. Date of Deposit: April 29, 2005. I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Vanessa Carels
Name of Person Making Deposit

signature

## JC14 Rec'd PCT/PTO 29 APR 2005

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.:							ATTORNEY'S DOCKET NO.:		
10/533550 PCT/DE03/003587							I432.116.101/P29858		
21. The following fees are submitted:							Applicant Use	Office Use Only	
⊠ a) Basic National Fee\$300.00							\$300.00		
☑ b) Examination Fee\$200.00							\$200.00		
⊠ c) Search Fee\$400.00							\$400.00		
TOTAL OF ABOVE CALCULATIONS = \$1000.00							\$900.00	-	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.									
		Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		RATE				
-100 =		/50 =	× \$250.00		\$				
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).							\$130.00		
CLAIMS		NUMBER FILED			RATE				
Total Claims		22-20 = 2			50.00	\$100.00			
Independ	dent Claims	3-3 = 0		× \$200.00		\$0.00			
MULTIPLE DEPENDENT CLAIM(S) (if applicable			ble) + \$360.00			360.00	\$0.00		
TOTAL OF ABOVE CALCULATIONS =							\$1030.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are								<u> </u>	
reduced by 1/2.							\$		
SUBTOTAL =							\$1030.00		
Processi	na fee of \$130	0.00 for furnishing the E	· · · · · · · · · · · · · · · · · · ·	1					
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f))							\$0.00		
TOTAL NATIONAL FEE = \$1030.00									
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be									
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property. + \$									
TOTAL FEES ENCLOSED =							\$1030.00	+	
TOTAL FLLS ENGLOSED								- <del> </del>	
							Amount to be		
							refunded:	\$	
							Amount to be charged:	\$	
a. A check in the amount of \$ to cover the above fees is enclosed.									
b. 🛛	b.   Please charge my Deposit Account No. 500471 in the amount of \$ 1,030.00 to								
5. 💆	cover the above fees.								
с. ⊠	<ul> <li>The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 500471. A duplicate copy of this sheet is attached.</li> </ul>								
d. 🗆	<ul> <li>Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form.</li> <li>Provide credit card information and authorization on PTO-2038.</li> </ul>								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.									
$\langle \cdot \rangle$									
SEND AL	SEND ALL CORRESPONDENCE TO:							_)\	
	Customer No. 025281 Signatu Paul P. Kempf								
ł	DICKE, BILLIG & CZAJA, PLLC Paul P.						empf	\	
l	100 SOUTH FIFTH STREET Name							- \	
	SUITE 2250								
	MINNEAPOLIS, MN 55402 <u>39,727</u>								
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